

Sr. No.	
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**Nomination Form**

(Refer Regulation 65 of the PNB Regulations)

To,

**Punjab National Bank  
Head Office, New Delhi**

Dear Sir,

**ELECTION OF DIRECTOR**

With reference to EGM Notice dated \_\_\_\_ I, ..... a shareholder of Punjab National Bank holding ..... equity shares of Rs.2/- each (fully paid-up) as on Friday, 25<sup>th</sup> August, 2023 i.e., the Specified / Cut-off Date for participating in the Election, do hereby nominate Shri/Smt..... son/daughter/wife of..... residing at .....

..... for contesting election of a Director of Punjab National Bank representing the shareholders of the Bank as provided in Section 9(3)(i) of the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970 at the Extraordinary General Meeting of the Shareholders of the Bank to be held on Wednesday, 27<sup>th</sup> September, 2023.

Name:

Signature:

Number of shares held:

Regd. Folio No.:

(if not dematerialized)

DP ID No. & Client ID No.:

(If dematerialized)

Place:

Date:

**Notes:**

- 1) In case nomination is made by a body corporate, the Nomination Form should be accompanied by a certified true copy of the resolution passed by the Board of Directors under the signature of the Chairman of the meeting at which it was passed.
- 2) Signature of the shareholders nominating the candidature should match with the specimen signature available with the Share Transfer Agent of the Bank.
- 3) If any of the columns above is left Blank or the particulars are found to be incorrect, the nomination is liable to be rejected.

### **Declaration by the Candidate**

(Refer Regulation 65 of the PNB Regulations)

I, ..... son/daughter/wife of Shri/Smt.  
.....resident of .....  
hereby confirm that:

- a. I am a shareholder holding ..... equity shares of Rs.2/- each of the Bank as on 25<sup>th</sup> August, 2023 i.e., the Specified /Cut-Off Date for participating in the election, and undertake to hold the shares till the end of the tenure, if elected as a Director of the Bank;
- b. I have special knowledge or practical experience in \*(i) agriculture and rural economy, (ii) banking, (iii) co-operation, (iv) economics, (v) finance (vi) law, (vii) small scale industry, or in matters or areas relating to Information Technology /Payment & Settlement Systems / Human Resources / Risk Management / Business Management, the special knowledge of and practical experience of which in the opinion of Reserve Bank of India would be useful to the Bank and I represent the interest of the depositors or farmers, workers and artisans, in terms of sub-section 3A of Section 9 of the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970 and as an evidence thereof, I submit herewith the relevant testimonials,  
  
*\*Delete whichever is not applicable*
- c. I accept the nominations numbering ..... to .....
- d. I am willing to contest for the election of Director of Punjab National Bank;
- e. I am not disqualified from being a Director of the Bank under the provisions of the Banking Regulations Act, 1949, the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970, the Nationalized Banks (Management and Miscellaneous Provisions) Scheme, 1970, Punjab National Bank (Shares & Meetings) Regulations, 2000 and Master Directions issued by RBI, and Guidelines issued by Govt. of India with regard to Non-Official Directors of Public Sector Banks,
- f. I neither hold any office of profit nor am I an employee of any Nationalized Bank or State Bank of India constituted under sub-section (1) of Section 3 of the State Bank of India Act, 1955 or any Subsidiary Bank as defined in Section 3 of the State Bank of India (Subsidiary Banks) Act, 1959.
- g. I am not disqualified under Section 164 of the Companies Act, 2013;

I further declare that:

- h. I have not been at any time adjudicated as an insolvent or have suspended payment or have been compounded with the creditors.
- i. I have not been found to be of unsound mind and have not been so declared by a competent court.
- j. I have not been convicted by any court of law.

- k. I have not been convicted by a criminal court of an offence which involves moral turpitude or otherwise.
- l. I have not been declared as proclaimed offender by any Economic Officer or Judicial Magistrate or High Court or any other Court.
- m. I will not undertake any professional relationship with the Bank during my tenure as Director and for a period of two years thereafter.
- n. I am not a member of the Board of any Bank or the Reserve Bank or a Financial Institution (FI) or an Insurance Company or a NOFHC holding any other Bank.
- o. I am not connected with hire purchase, financing, money lending, investment, leasing and other para banking activities.
- p. I have not served as Director in the past on the board of any Bank/FI/ RBI/Insurance Company under any category for six years, whether continuously or intermittently.
- q. I am not engaged in the business of stock broking.
- r. I am not holding the position of a Member of Parliament or State legislature or Municipal Corporation or Municipality or other local bodies (other local bodies means bodies such as Notified Area Council, City Council, Panchayat, Gram Sabha, Zila Parishad, etc.).
- s. I am not acting as a partner of a Chartered Accountant firm which is currently engaged as a Statutory Central Auditor of any Nationalised Bank or State Bank of India.
- t. I am not acting as a partner of a Chartered Accountant firm which is currently engaged as Statutory Branch Auditor or Concurrent Auditor of the Punjab National Bank in which nomination for election is filed.
- u. I neither have any business connection (including legal services, advisory services etc.) with the Punjab National Bank nor am I engaged in activities which might result in a conflict of business interests with Punjab National Bank.
- v. I am not having any professional relationship with a Bank or any NOFHC holding any other bank and undertake to sever the relationship, if any, with the Bank if elected before assuming charge as a Director.
- w. I am not under adverse notice of any regulatory or supervisory authority/agency, or law enforcement agency and I am not defaulter of any lending institution.
- x. I undertake to execute Deed of Covenant (in the prescribed format of RBI Master Directions dated 02.08.2019) before assuming office as a Director;
- y. I undertake to keep the Bank fully informed, as soon as possible, of events, if any, which take place subsequent to this declaration which are relevant to the information provided hereto and to execute the Deed of Covenants.
- z. I undertake to comply with relevant provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended till I hold the position as Director of the Bank, if elected.

aa. I herein furnish below the details of my present as well as past directorship in other Companies/ Banks/ other entities:

<b>Name of the Company, Bank, other entities</b>	<b>Directorship details viz., tenure, nature of directorship, period, etc.</b>

*(add additional rows / sheets if necessary)*

bb. I enclose my personal details which are to the best of my knowledge and belief true and complete in all respects.

Name	
Signature	
Number of shares held	
Regd. Folio No. (if not dematerialized)	
DP ID No. & Client ID No. (if dematerialized)	
Place	
Date	

The above declaration was signed before me.

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Signature with seal of Judge, Magistrate, Registrar or Sub-Registrar of Assurances, or other Gazetted Officer or an officer of the Reserve Bank of India or any Nationalized Bank.

Name of the Bank: Punjab National Bank

**Declaration and Undertaking by a Proposed Director**  
**(with appropriate enclosures)**

Affix passport size photo here

Declaration & Undertaking by the Candidate with enclosures as appropriate as on .....2023				
Sr. No.	Particulars	Information Disclosed		
I	<b>Personal details</b>			
1.	Name in full	First Name	Middle Name	Last Name
2.	Father's Name			
3.	Gender (M/F/Others)			
4.	Present Address			
5.	Permanent Address			
6.	E-mail address & alternate e-mail address: Telephone Number with STD code: Mobile Number:			
7.	Nationality			
8.	Date of Birth (dd/mm/yyyy) and Age	--/--/---- Age: -- years -- months --- days		
9.	Educational Qualifications			

10.	Director Identification Number (DIN)									
11.	Aadhaar Number (optional)									
12.	(a) Permanent Account Number (PAN) (b) Charge where the proposed director is assessed to tax (Income Tax jurisdiction) / name and address of Income Tax Circle/Ward (c) Details of filing of return(s) and payment of taxes for past 3 years	<table border="1"> <thead> <tr> <th>Date of filing</th> <th>Amount of tax paid (INR)</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table>	Date of filing	Amount of tax paid (INR)						
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13.	Details in the form of a brief write up on the <i>relevant knowledge or experience</i> in respect of one or more of the matters namely accountancy, agriculture and rural economy, banking, co-operation, economics, finance, law, small scale industry, or in matters or areas relating to Information Technology/Payment & Settlement Systems/Human Resources/Risk Management/Business Management or any other matter the special knowledge of and practical experience of which in the opinion of Reserve Bank of India would be useful to the Bank.									
14.	Present occupation (designation, name of the organisation and brief write-up on experience)									
15.	Previous occupation covering minimum of past ten years, with complete address of the organisation(s) worked in, date of joining, date of relieving (including reasons), designation, etc.									
16.	In case a Chartered Accountant, indicate the following: (a) Membership Number of Institute of Chartered Accountants of India (ICAI):									

	(b) Date of registration with the ICAI: (c) Name and Address of the registered firm/s: (d) Details of the Audit(s) presently undertaken by the firm(s) or by you:				
17.	Name of the banker(s) with Branch and Account Numbers (savings/current/loan accounts) where you are a primary account holder:	<b>Name of the Bank</b>	<b>Branch</b>	<b>Type of A/c</b>	<b>A/c Number</b>
18.	Details of shareholding, if held in any entity, either in physical or dematerialized form, by you, spouse, and your minor child. (attach demat/shareholding certificate)				
19.	Any other information relevant to Directorship of the Bank				
<b>II</b>	<b>Relevant Relationships of proposed director</b>				
1.	List of Relatives (Refer Section 2(77) of Companies Act, 2013 and Rule 4 of Companies (Specification of definitions details) Rules, 2014) if any, who are connected with any bank:				
2.	a) List of entities in which you are interested (Refer Section 184 of the Companies Act, 2013) Names of the banks/ NBFCs/ companies/ bodies corporate/ firms / association of individuals etc. should be mentioned separately. b) List of entities in which you hold beneficial ownership [Section 89 of the Companies Act, 2013 and also refer to applicable Significant Beneficial Ownership Rules of MCA] c) List of Trusts in which position of Trustee is held by you (also mention any other relationship with reference to a trust):				

3.	List of entities, existing and proposed, in which you are holding substantial interest within the meaning of Section 5(ne) of the Banking Regulation Act, 1949. <i>“Substantial Interest” (i) in relation to a company, means the holding of a beneficial interest by an individual or his spouse or minor child, whether singly or taken together, in the shares thereof, the amount paid up on which exceeds five lakhs of rupees or ten percent of the paid-up capital of the company, whichever is less; (ii) in relation to a firm, means the beneficial interest held therein by an individual or his spouse or minor child, whether singly or taken together, which represents more than ten per cent of the total capital subscribed by all the partners of the said firm;</i>	<table border="1"> <tr> <td data-bbox="824 216 1190 296"><i>Name of the company / firm</i></td> <td data-bbox="1190 216 1404 296"></td> </tr> <tr> <td data-bbox="824 296 1190 333"><i>Country of incorporation</i></td> <td data-bbox="1190 296 1404 333"></td> </tr> <tr> <td data-bbox="824 333 1190 371"><i>Number of shares</i></td> <td data-bbox="1190 333 1404 371"></td> </tr> <tr> <td data-bbox="824 371 1190 451"><i>Face Value of each share</i></td> <td data-bbox="1190 371 1404 451"></td> </tr> <tr> <td data-bbox="824 451 1190 531"><i>Total face value of share holding</i></td> <td data-bbox="1190 451 1404 531"></td> </tr> <tr> <td data-bbox="824 531 1190 611"><i>Shareholding as % of total Paid up Capital</i></td> <td data-bbox="1190 531 1404 611"></td> </tr> <tr> <td data-bbox="824 611 1190 711"><i>Beneficial interest (in value as well as % terms)</i></td> <td data-bbox="1190 611 1404 711"></td> </tr> <tr> <td data-bbox="824 711 1190 850"><i>Whether the entity is a Section 8 Company under Companies Act, 2013</i></td> <td data-bbox="1190 711 1404 850"></td> </tr> </table>	<i>Name of the company / firm</i>		<i>Country of incorporation</i>		<i>Number of shares</i>		<i>Face Value of each share</i>		<i>Total face value of share holding</i>		<i>Shareholding as % of total Paid up Capital</i>		<i>Beneficial interest (in value as well as % terms)</i>		<i>Whether the entity is a Section 8 Company under Companies Act, 2013</i>	
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4.	Details of holdings in entities incorporated abroad and having a place of business in India.																	
5.	Name of Bank/NBFC/any other company in which currently or in the past you are a member of the Board/Advisor etc. (giving details of period during which such office is being/was held)																	
6.	If connected with any entity undertaking hire purchase, financing, investment, leasing and other para banking activities (nature of association to be mentioned), details thereof.																	
7.	If a stock broker or connected with any entity engaged in share broking activities, details thereof																	
8.	Details of fund and non-fund-based facilities, if any, presently availed in person and/or by entities listed at Point II (2) to (7) above.																	

9.	Cases, if any, where as an individual, you, or the entities listed at Point II (2) to (7) above have defaulted or declared as wilful defaulter in the past in respect of credit facilities obtained from a Bank/NBFC/ any other lending institution.	
<b>III</b>	<b>Records of Professional Achievements</b>	
1.	Professional achievements relevant for the directorship.	
<b>IV</b>	<b>Proceedings, if any, against the proposed director</b>	
1.	a) As a member of a professional association/body, details of disciplinary action, if any, pending or commenced or resulting in conviction in the past or whether been banned from entry at any profession/occupation at any time, details thereof.	
	b) If subject of any written complaint or accusation regarding individual professional conduct or activities, details thereof.	
2.	Details of prosecution, if any, pending or commenced or resulting in conviction of self and/or against any of the entities listed at Point II (2) to (7) above for violation of economic laws and regulations	
3.	Details of criminal prosecution, if any, pending or commenced or resulting in conviction.	
4.	If indulged in any breach of AML/CFT guidelines, details thereof.	

5.	If attracting any of the disqualifications envisaged under Section 164 of the Companies Act, 2013, details thereof.	
6.	If adjudicated insolvent or has suspended payment or has compounded with creditors, details thereof.	
7.	If found to be of unsound mind and stands so declared by a competent Court, details thereof	
8.	(a) If convicted by a Criminal Court of an offence which involves moral turpitude or otherwise, details thereof (b) If convicted by any Court of law, details thereof.	
9.	If holding any office of profit under any Nationalised bank or State Bank of India, except for holding the post of a whole-time director, details thereof.	
10.	If as an individual or any of the entities at Point II (2) to (7) above have been subject to any investigation/vigilance/ matters of enquiry from any of the previous employers or government departments or agency, details thereof.	
11.	If found guilty of violation of rules/ regulations/ legislative requirements by customs/ excise/ income tax/ foreign exchange/ other revenue authorities, details thereof	
12.	If reprimanded, censured, restricted, suspended, barred, enjoined, or otherwise sanctioned by any regulator such as SEBI, IRDAI, PFRDA etc., professional organisation, government agency, or court because of professional conduct or activities, details thereof.	

	<i>(Though it shall not be necessary for a candidate to mention in the column about orders and findings which have been later on reversed/set aside in toto, it would be necessary to make a mention of the same, in case the reversal/setting aside is on technical reasons like limitation or lack of jurisdiction, and not on merit. If the order is temporarily stayed and the appellate/court proceedings are pending, the same also should be mentioned).</i>	
<b>V</b>	<b>General Information</b>	
1.	If a professional like Chartered Accountant, Advocate etc. and presently undertaking/undertaken any professional work in any bank, provide details thereof including the name of the bank and period of association with the bank.	
2.	If a sitting MP/MLA/MLC or holding political position in Municipal Corporation or Municipality or other local bodies, provide details thereof.	
<b>VI</b>	<b>In the interest of disclosure and transparency, should there be any other information relevant for assessing 'fit and proper' provide details thereof</b>	
<b><u>Undertaking</u></b>		
I confirm that the above information is to the best of my knowledge and belief, true and complete. I undertake to keep the bank fully informed, as soon as possible, of all events which take place after my appointment which are relevant to the information provided above.		
I also undertake to execute a Deed of Covenant required to be executed with the Bank.		
Place:		
Date:		Signature of Candidate
Enclosures:		
.....		

.....  
Note:

Wherever space is not sufficient, please attach the information as annexures in chronological order and with appropriate cross reference.

Each page (including annexures) is required to be signed by the candidate.

<b>Place :</b>		
<b>Date:</b>		
<b>Remarks of Nomination and Remuneration Committee (NRC) of having satisfied itself that the above information is true and complete.</b>		
<b>Place:</b>		<b>Signature of the Chair of the NRC</b>
<b>Date:</b>		